





## ATENT AND TRADEMARK OFFICE.

MAY 2 0 2002

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov ATTORNEY DOCKET NUMBER

COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

APPLICATION NUMBER 10/010.068

12(06/2001

Peter M. Karas

020375-000610US

**CONFIRMATION NO. 3210** 

United States Patent and Trademark Office

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834** 

**FORMALITIES LETTER** OC000000007224981\*

Date Mailed: 12/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/21/2002 SMINASS1 00000013 201430 10010068

FILED UNDER 37 CFR 1.53(b)

01 FC:101 02 FC:103 03 FC:105 740.00 CH 36.00 CH

130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



nades i usual service as mist class man in an envelope addressed to

Attn: Box Missing Parts Washington, D.C. 20231

**Assistant Commissioner for Patents** 

n <u>May 7, 2002</u>

TOWNSEND and TOWNSEND and CREW LLF

By: Cindy Dennett

COPY OF PAPERS ORIGINALLY FILED

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter M. Karas, et al.

Application No.: 10/010,068

Filed: December 6, 2001

For: ELECTRONIC GIFT GREETING

Examiner:

Art Unit:

2165

TRANSMITTAL LETTER – RESPONSE TO NOTICE OF

MISSING PARTS

Attn: Box Missing Parts

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated December 21, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 3) Petition to Extend Time
- 4) Part 2 Notice to File Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:

(a) Filing Fee (§ 1.16(a)) (Large Entity)

\$740.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

Application No.: 10/010,068

Page 2

| тот | AL FEES TO BE C     | CHARGED     | \$906.00 |
|-----|---------------------|-------------|----------|
| (c) | Missing Parts Surch | harge       | \$130.00 |
|     | 3 - 3 = 0           | x \$84.00 = | \$0.00   |
|     | 22 - 20 = 2         | x \$18.00 = | \$36.00  |

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

## Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 415 576-0300

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|--|----------------------|---|-------------|--|--|--|
| FEE TRANSMITTA   |                      | Complete If Known   |             |  |  |  |
| FEE TRANSMITTA   | Application Number   | 10/010,068  |             |  |  |  |
| for FY 2001  | Filing Date          | December 6, 2001  | Opya        |  |  |  |
| MAY 2 0 2002 (2)   | First Named Inventor | Karas, Peter M.   | IGINAL PAPE |  |  |  |
| Patent fees are subject to annual revision.                  | Examiner Name        |   | CLYFILE     |  |  |  |
|  | Group Art Unit       | 2165  |             |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 906                             | Attorney Docket No.  | 020375-000610US   | <u>ー</u> ン  |  |  |  |

| TOTALIAM  | 00111 01      | 123.130       | 2.117                                 |                                   | i Auvi               | nev Dock   | attiv.   | <u> </u>                                |  |             |
|---|---------------|---------------|---------------------------------------|-----------------------------------|----------------------|--|--|---|--|-------------|
| METHOD OF PAYMENT   |               |               |                                       | FEE CALCULATION (continued)       |                      |  |  |   |  |             |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: |               |               |                                       | 3. AE                             | 3. ADDITIONAL FEES   |  |  |   |  |             |
| Deposit<br>Account  |               | 1430          | and credit any o                      | ver payments to:                  | Large<br>Fee<br>Code | Entity<br>Fee<br>(\$)  | Small<br>Fee<br>Code                                   | Entity<br>Fee<br>(\$)                   | Fee Description  | Fee<br>Paid |
| Number  | 20-           | 1430          |                                       |                                   | 105                  | 130  | 205  | 65                                      | Surcharge - late filing fee or oath  | 130         |
| Deposit   |               |               |                                       |                                   | 127                  | 50   | 227  | 25                                      | Surcharge - late provisional filing fee<br>or cover sheet.                       |             |
| Account   | Town          | send and      | Townsend and                          | Crew LLP                          | 139                  | 130  | 139  | 130                                     | Non-English specification  |             |
| Account Townsend and Townsend and Crew LLP Name   |               |               |                                       |                                   | 147                  | 2,520  | 147  | 2,520                                   | For filing a request for reexamination   |             |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17                                   |               |               |                                       |                                   | 112                  | 920*   | 112  | 920*                                    | Requesting publication of SIR prior to<br>Examiner action                        |             |
| Applicant claims small entity status.  See 37 CFR 1.27  |               |               | 113                                   | 1,840*                            | 113                  | 1,840°   | Requesting publication of SIR after<br>Examiner action |   |  |             |
| 2. Payment Enclosed:  |               |               |                                       | 115                               | 110                  | 215  | 55   | Extension for reply within first month  |  |             |
| ☐ Check   | ☐ Cre         | edit card     | Money<br>Order                        | Other ·                           | 116                  | 400  | 216  | 200                                     | Extension for reply within second month  |             |
|   |               | EEE CA        |                                       |                                   | 117                  | 920  | 217  | 460                                     | Extension for reply within third month   |             |
| FEE CALCULATION  1. BASIC FILING FEE  |               |               |                                       | 118                               | 1,440                | 218  | 720  | Extension for reply within fourth month |  |             |
| Large Entit   | y Small       | Entity        |                                       |                                   | 128                  | 1,960  | 228  | 980                                     | Extension for reply within fifth month   |             |
| Fee Fee   | Fee           |               | ee Description                        |                                   | 119                  | 320  | 219  | 160                                     | Notice of Appeal   |             |
| Code (\$)   | Code          | (\$)          |                                       | Fee Paid                          | 120                  | 320  | 220  | 160                                     | Filing a brief in support of an appeal   |             |
| 101 740   | 201           |               | Itility filing fee                    | 740                               | 121                  | 280  | 221  | 140                                     | Request for oral hearing   |             |
| 108 330<br>107 510  | 206<br>207    |               | Design filing fee<br>Plant filing fee |                                   | 138                  | 1,510  | 138  | 1,510                                   | Petition to institute a public use proceeding                                    |             |
| 108 740   | 208           |               | Reissue filing fee                    | ,                                 | 140                  | 110  | 240  | 55                                      | Petition to revive - unavoidable   |             |
| 114 160   | 214           | 80 F          | Provisional filing                    | fee                               | 141                  | 1,280  | 241  | 640                                     | Petition to revive - unintentional   |             |
| •   |               |               |                                       |                                   | 142                  | 1,280  | 242  | 640                                     | Utility Issue fee (or reissue)   |             |
|   | SU            | JBTOTAL       | . (1)                                 | (\$) 740                          | 143                  | 460  | 243  | 230                                     | Design issue fee   |             |
| 2. EXTRA CL   | AIM FFFS      |               |                                       |                                   | 144                  | 620  | 244  | 310                                     | Plant issue fee  |             |
|   |               | E             | extra Fe                              | from Fee                          | 122                  | 130  | 122  | 130                                     | Petitions to the Commissioner  |             |
| Total Claims  | 22 -20°       |               | laims bel                             |                                   | 123                  | 50   | 123  | 50                                      | Petitions related to provisional applications                                    |             |
| Independent<br>Claims   | 3 -3"         | - = o         | x \$                                  | 84 = \$0                          | 126                  | 180  | 126  | 180                                     | Submission of Information Disclosure Strnt                                       |             |
| Multiple<br>Dependent   |               |               | × [                                   | =                                 | 581                  | 40   | 581  | 40                                      | Recording each patent assignment<br>per property (times number of<br>properties) |             |
| Large Ent   | •             | Entity<br>Fee |                                       | -                                 | 146                  | 740  | 246  | 370                                     | Filing a submission after final rejection (37 CFR § 1.129(a))                    |             |
| Code (\$)<br>103 18   | Code<br>203   | (\$)<br>9     | Fee Descripti<br>Claims in exc        |                                   | 149                  | 740  | 249  | 370                                     | For each additional invention to be examined (37 CFR § 1.129(b))                 |             |
| 102 84  | 202           | 42            | Independent of                        | daims in excess of 3              | 179                  | 740  | 279  | 270                                     |  |             |
| 104 286   | 204           | 140           | Multiple deper                        | ndent claim, if not paid          | 1                    |  |  | 370                                     | Request for Continued Examination (RCE)  |             |
| 109 84  | 209           | 42            | ** Reissue ind<br>original patent     | lependent claims over             | 169                  | 900  | 169  | 900                                     | Request for expedited examination<br>of a design application                     |             |
| 110 18  | 210           | 9             | ** Reissue cla<br>over original p     | ims in excess of 20 and<br>eatent |                      | fee (speci   | ••   |   |  |             |
|   |               | su            | BTOTAL (2)                            | (\$) 36                           |                      | commission over the contract of the contract o |  |   | ed to charge any additional fees to unt.   |             |
| <b>**</b>   |               |               |                                       |                                   | *Red                 | uced by Ba   | asic Filin   | g Fee P                                 | aid SUBTOTAL (3) (\$) 130  | ,           |
| or number pre   | viousiy paid, | n greater;    | For Reissues, se                      | B above                           |                      |  |  |   |  |             |

| SUBMITTED BY Complete (if applicable) |                    |                                   |        |           |              |
|---------------------------------------|--------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type)                     | Thomas D. Franklin | Registration No. (Attorney/Agent) | 43,616 | Telephone | 303-571-4000 |
| Signature                             | X -                | -                                 |        | Date      | May 7, 2002  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.